

Upscaling for orthorhombic media

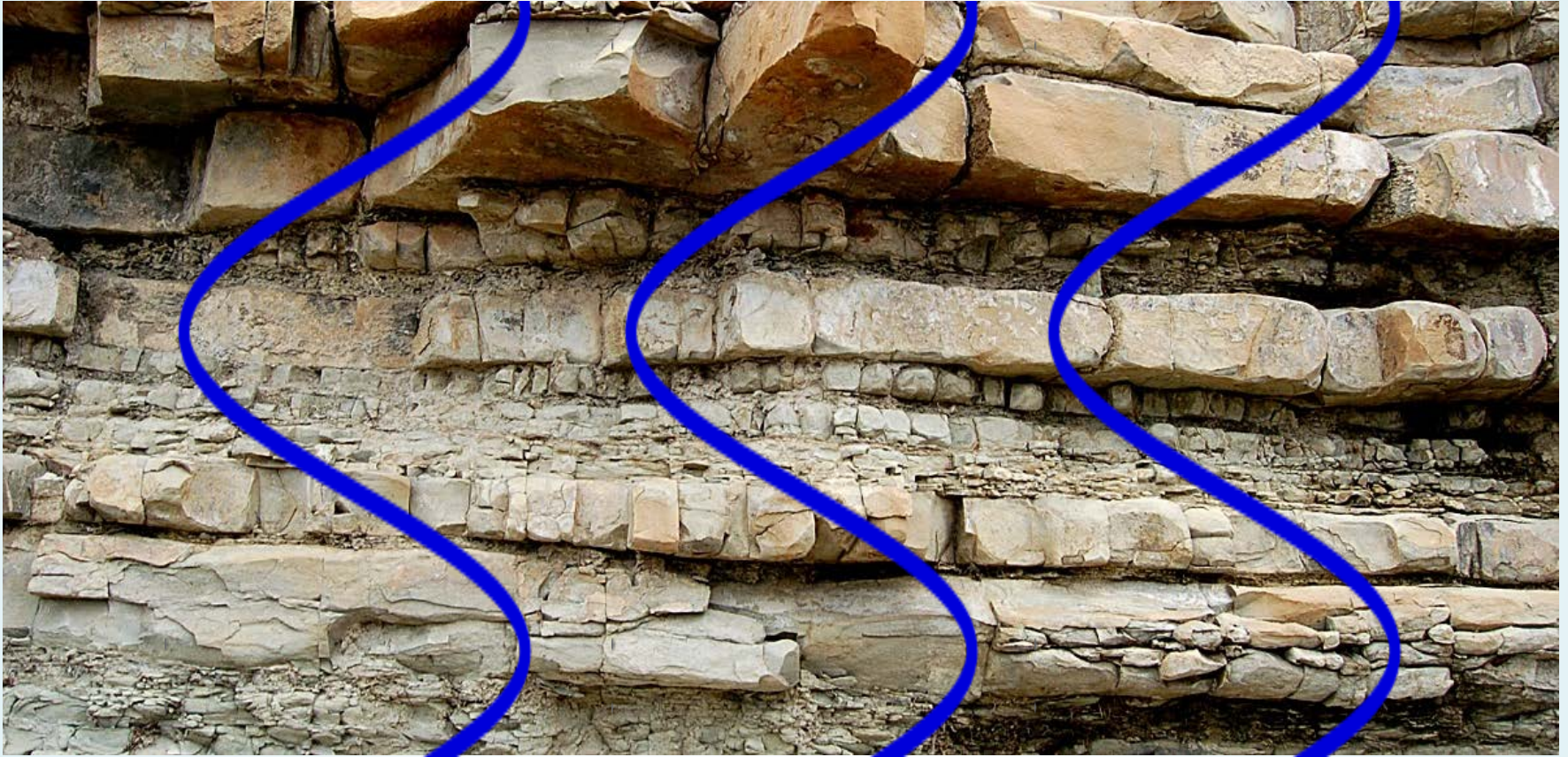
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Loučeň, Czech Republic

Outline

- Motivation
- Theory
- Considered models
 - $\text{ORT-az} + \text{ORT}$
 - $\text{VTI} + \text{ORT}$ (vertically fractured VTI)
- Conclusions

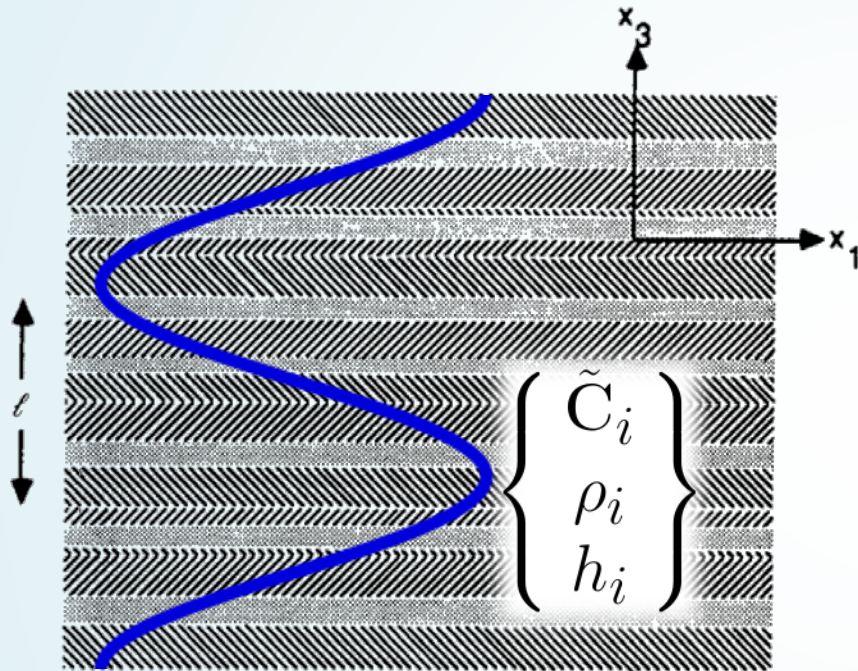
Motivation



<http://imgkid.com/sedimentary-rock-layers.shtml>

How does the seismic wave behave in the presence of thin (compared to wavelength) layering and/or fractures?

Elastic moduli of layered anisotropic media



(Schoenberg and Muir, 1989)

Schoenberg and Muir, 1989:

Assumptions:

1. Thickness of individual layer is smaller than a wavelength

$$h_i \ll \lambda$$

2. Stationarity

$$h_i = \text{const in } \forall \ell \ll \lambda$$

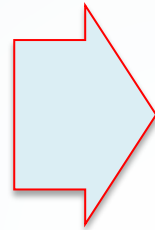
Elastic moduli of layered anisotropic media

$$\tilde{C}_{\text{NN}}^i = \begin{bmatrix} C_{33}^i & C_{34}^i & C_{35}^i \\ C_{34}^i & C_{44}^i & C_{45}^i \\ C_{35}^i & C_{45}^i & C_{55}^i \end{bmatrix}$$

$$\tilde{C}_{\text{TN}}^i = \begin{bmatrix} C_{13}^i & C_{14}^i & C_{15}^i \\ C_{23}^i & C_{24}^i & C_{25}^i \\ C_{36}^i & C_{46}^i & C_{56}^i \end{bmatrix}$$

$$\tilde{C}_{\text{TT}}^i = \begin{bmatrix} C_{11}^i & C_{12}^i & C_{16}^i \\ C_{12}^i & C_{22}^i & C_{26}^i \\ C_{16}^i & C_{26}^i & C_{66}^i \end{bmatrix}$$

$$\tilde{C}_{\text{NT}}^i = \tilde{C}_{\text{TN}}^i$$



$$\tilde{C}_{\text{NN}} = \langle \tilde{C}_{\text{NN}}^{-1} \rangle^{-1}$$

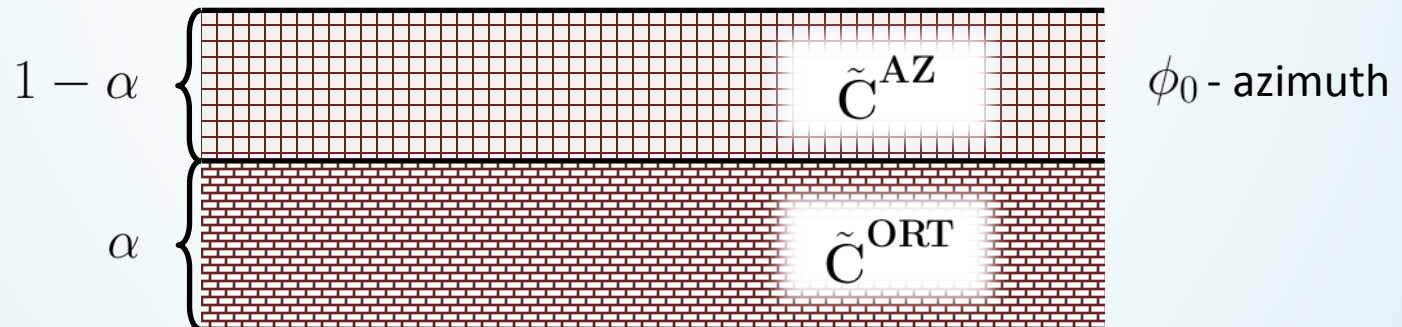
$$\tilde{C}_{\text{TN}} = \langle \tilde{C}_{\text{TN}} \tilde{C}_{\text{NN}}^{-1} \rangle \tilde{C}_{\text{NN}}$$

$$\tilde{C}_{\text{TT}} = \langle \tilde{C}_{\text{TT}} \rangle - \langle \tilde{C}_{\text{TN}} \tilde{C}_{\text{NN}}^{-1} \tilde{C}_{\text{NT}} \rangle + \langle \tilde{C}_{\text{TN}} \tilde{C}_{\text{NN}}^{-1} \rangle \tilde{C}_{\text{NN}} \langle \tilde{C}_{\text{NN}}^{-1} \tilde{C}_{\text{NT}} \rangle$$

- Valid for arbitrary anisotropy
- Does not assume periodicity of the layers
- Effective media symmetry order is equal to the lowest symmetry order of the individual layers

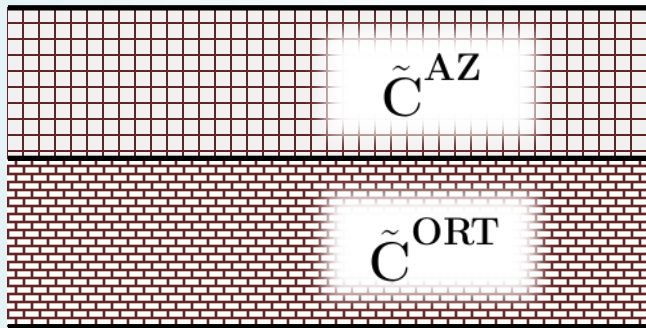
Effective anisotropic media: ORT-az + ORT

$$\tilde{\mathbf{C}}^{\text{AZ}} = \begin{pmatrix} \hat{C}_{11} & \hat{C}_{12} & \hat{C}_{13} & 0 & 0 & \hat{C}_{16} \\ \hat{C}_{12} & \hat{C}_{22} & \hat{C}_{23} & 0 & 0 & \hat{C}_{26} \\ \hat{C}_{13} & \hat{C}_{23} & \hat{C}_{33} & 0 & 0 & \hat{C}_{36} \\ 0 & 0 & 0 & \hat{C}_{44} & \hat{C}_{45} & 0 \\ 0 & 0 & 0 & \hat{C}_{45} & \hat{C}_{55} & 0 \\ \hat{C}_{16} & \hat{C}_{26} & \hat{C}_{36} & 0 & 0 & \hat{C}_{66} \end{pmatrix}$$

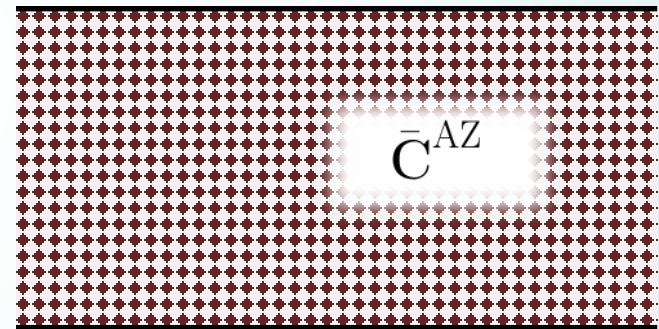
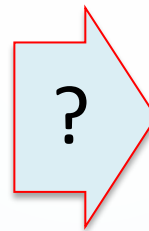


Effective anisotropic media: ORT-az + ORT

Can **effective** (averaged) **orthorhombic** stiffness matrix be represented by some **azimuthally** rotated **orthorhombic** stiffness matrix?



Monoclinic



Orthorhombic + azimuth

Effective anisotropic media: ORT-az + ORT

Approach I

Can **effective** (averaged) **orthorhombic** stiffness matrix be represented by some **azimuthally** rotated **orthorhombic** stiffness matrix?

$$\tilde{C}^{\text{AV}} = M \tilde{C}^{\text{ORT}} M^{\text{T}}$$

$$M^{-1} \tilde{C}^{\text{AV}} M^{-\text{T}} = \tilde{C}^{\text{ORT}}$$

$$M = M_z(\phi^*) = \begin{pmatrix} \cos^2 \phi^* & \sin^2 \phi^* & 0 & 0 & 0 & -\sin 2\phi^* \\ \sin^2 \phi^* & \cos^2 \phi^* & 0 & 0 & 0 & \sin 2\phi^* \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \cos \phi^* & \sin \phi^* & 0 \\ 0 & 0 & 0 & -\sin \phi^* & \cos \phi^* & 0 \\ \frac{\sin 2\phi^*}{2} & -\frac{\sin 2\phi^*}{2} & 0 & 0 & 0 & \cos 2\phi^* \end{pmatrix}$$

Effective anisotropic media: ORT-az + ORT

Approach I

Can **effective** (averaged) **orthorhombic** stiffness matrix be represented by some **azimuthally** rotated **orthorhombic** stiffness matrix?

$$\tilde{\mathbf{C}}^{\text{AV}} = \mathbf{M} \tilde{\mathbf{C}}^{\text{ORT}} \mathbf{M}^{\text{T}}$$

$$\mathbf{M}^{-1} \tilde{\mathbf{C}}^{\text{AV}} \mathbf{M}^{-\text{T}} = \tilde{\mathbf{C}}^{\text{ORT}}$$

$$\begin{pmatrix} \hat{C}_{11} & \hat{C}_{12} & \hat{C}_{13} & 0 & 0 & \hat{C}_{16} \\ \hat{C}_{12} & \hat{C}_{22} & \hat{C}_{23} & 0 & 0 & \hat{C}_{26} \\ \hat{C}_{13} & \hat{C}_{23} & \hat{C}_{33} & 0 & 0 & \hat{C}_{36} \\ 0 & 0 & 0 & \hat{C}_{44} & \hat{C}_{45} & 0 \\ 0 & 0 & 0 & \hat{C}_{45} & \hat{C}_{55} & 0 \\ \hat{C}_{16} & \hat{C}_{26} & \hat{C}_{36} & 0 & 0 & \hat{C}_{66} \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ C_{12} & C_{22} & C_{23} & 0 & 0 & 0 \\ C_{13} & C_{23} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66} \end{pmatrix}$$

$$\hat{C}_{16}, \hat{C}_{26}, \hat{C}_{36}, \hat{C}_{45} \rightarrow 0$$

Effective anisotropic media: ORT-az + ORT

$$\hat{C}_{16}, \hat{C}_{26}, \hat{C}_{36}, \hat{C}_{45} \rightarrow 0$$

$$\hat{C}_{16}, \hat{C}_{26}, \hat{C}_{36}, \hat{C}_{45} = \mathcal{F}(\phi_0, \phi^*)$$

ϕ^* - effective azimuth

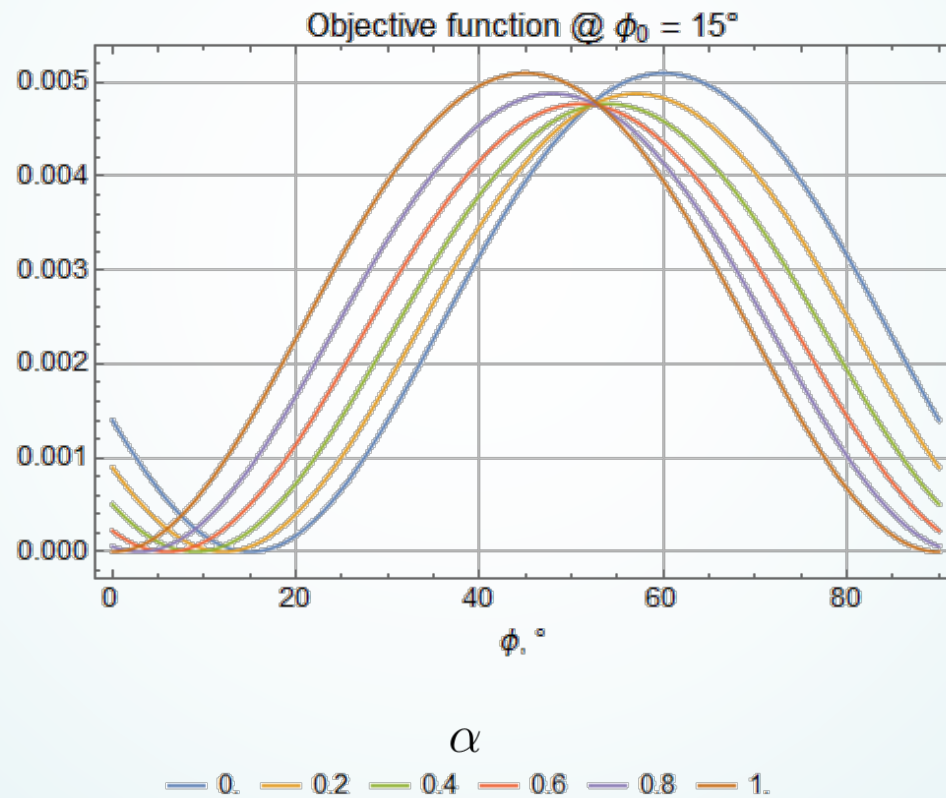
$$\frac{1}{\bar{C}_{33}^2} \left[\hat{C}_{16}^2(\phi^*) + \hat{C}_{26}^2(\phi^*) + \hat{C}_{36}^2(\phi^*) + \hat{C}_{45}^2(\phi^*) \right] = f(\phi^*)$$

$$f(\phi^*) \rightarrow \min_{\phi \in [0; \pi/2]} f(\phi)$$

V_{P0}	V_{S0}	ρ	$\epsilon^{(1)}$	$\delta^{(1)}$	$\gamma^{(1)}$	$\epsilon^{(2)}$	$\delta^{(2)}$	$\gamma^{(2)}$	$\delta^{(3)}$	$\eta^{(1)}$	$\eta^{(2)}$	$\eta^{(3)}$
3.5	1.6	1.0	0.25	0.15	0.1	0.15	0.1	0.05	0.05	0.08	0.04	0.02

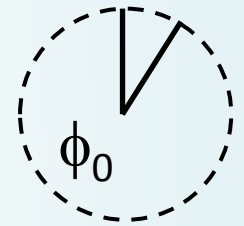
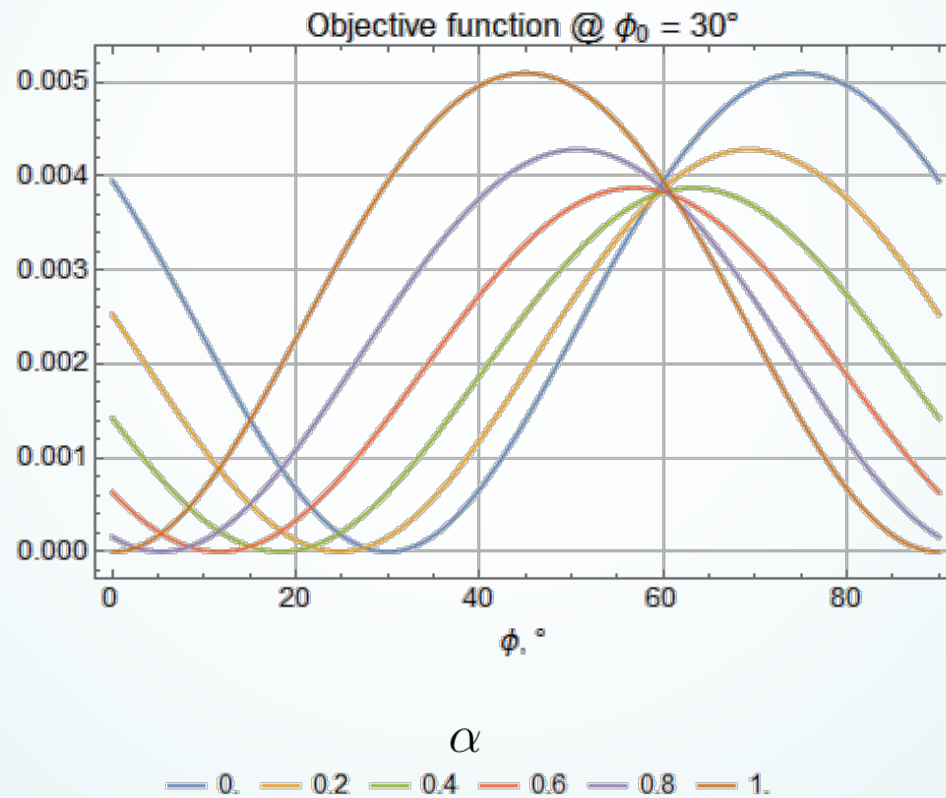
Effective anisotropic media: ORT-az + ORT

V_{P0}	V_{S0}	ρ	$\epsilon^{(1)}$	$\delta^{(1)}$	$\gamma^{(1)}$	$\epsilon^{(2)}$	$\delta^{(2)}$	$\gamma^{(2)}$	$\delta^{(3)}$	$\eta^{(1)}$	$\eta^{(2)}$	$\eta^{(3)}$
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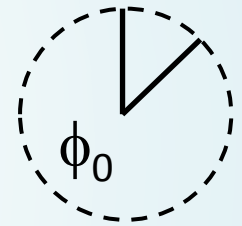
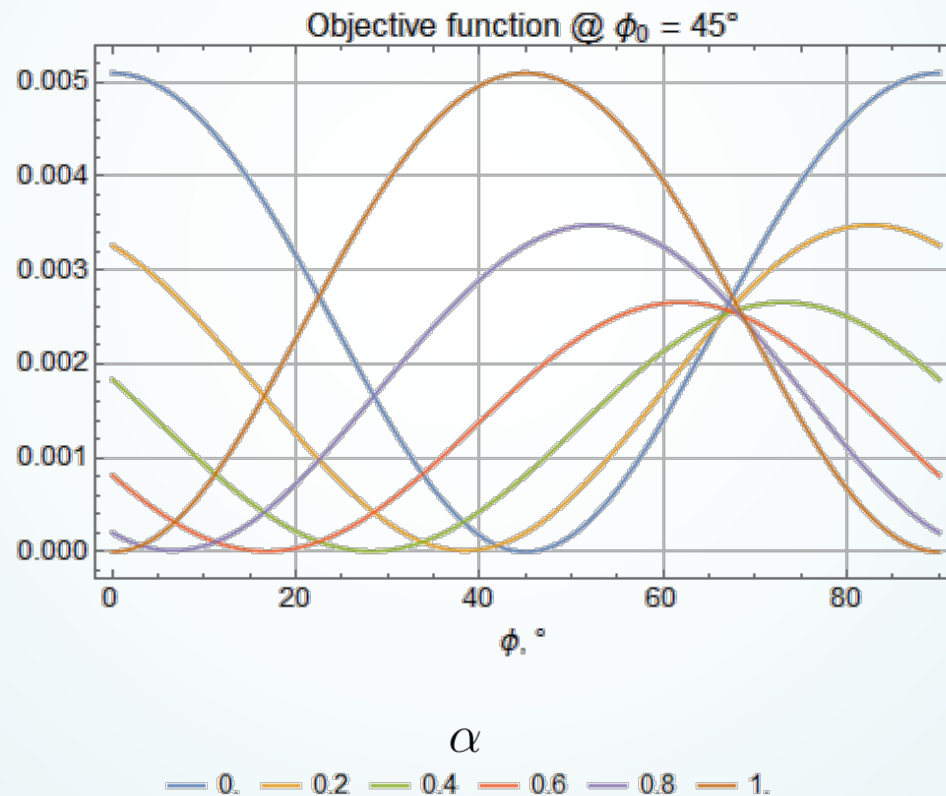
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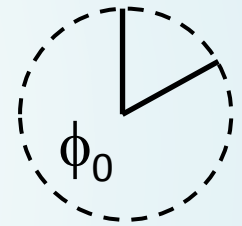
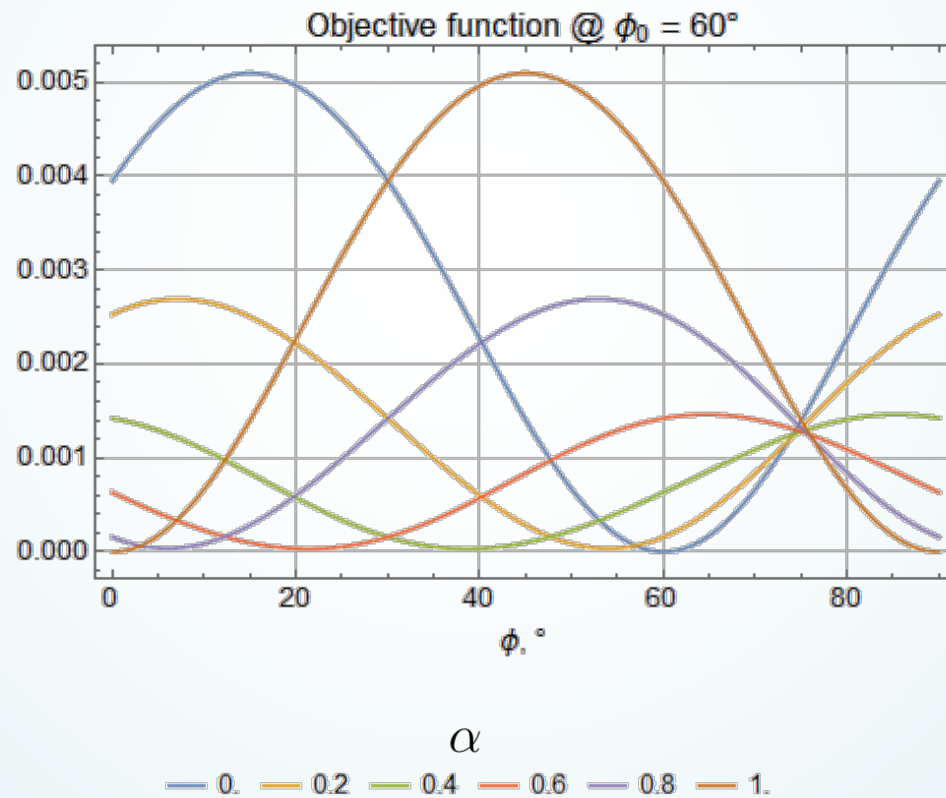
Effective anisotropic media: ORT-az + ORT

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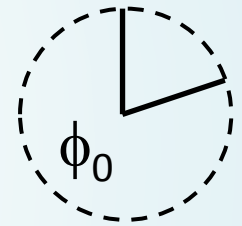
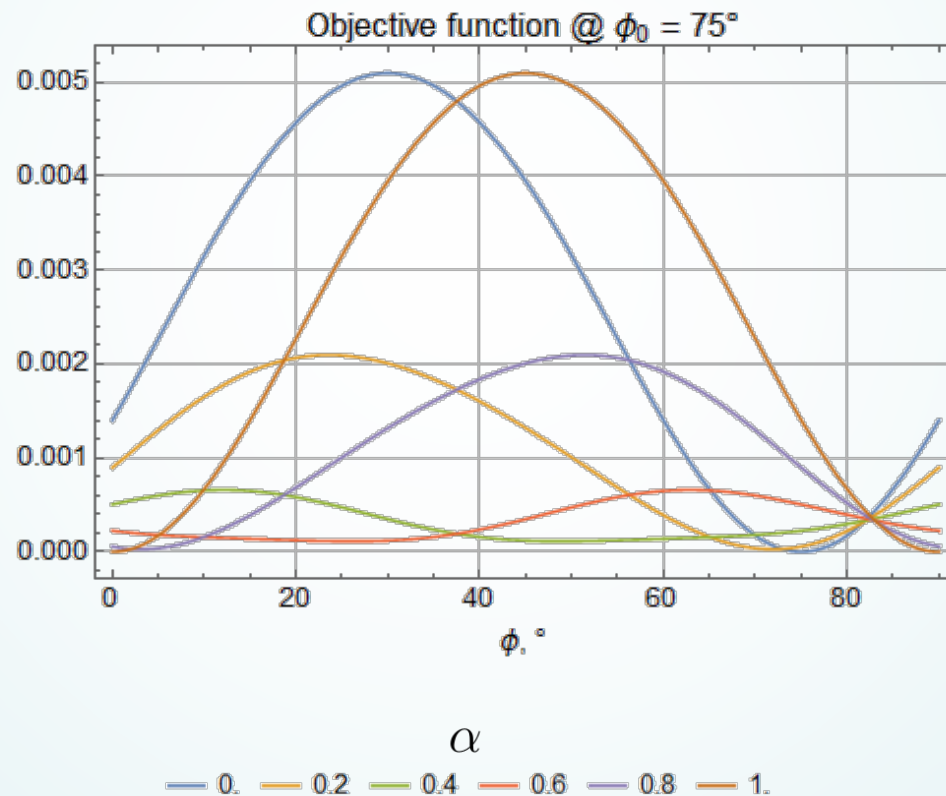
Effective anisotropic media: ORT-az + ORT

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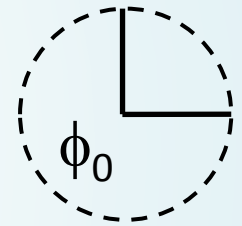
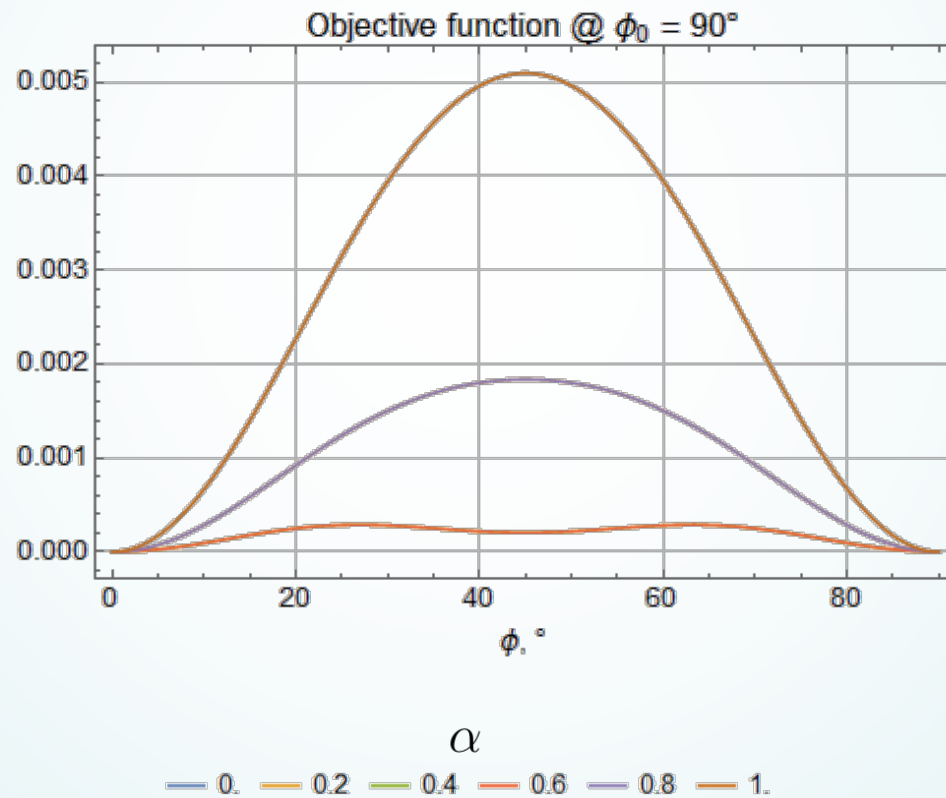
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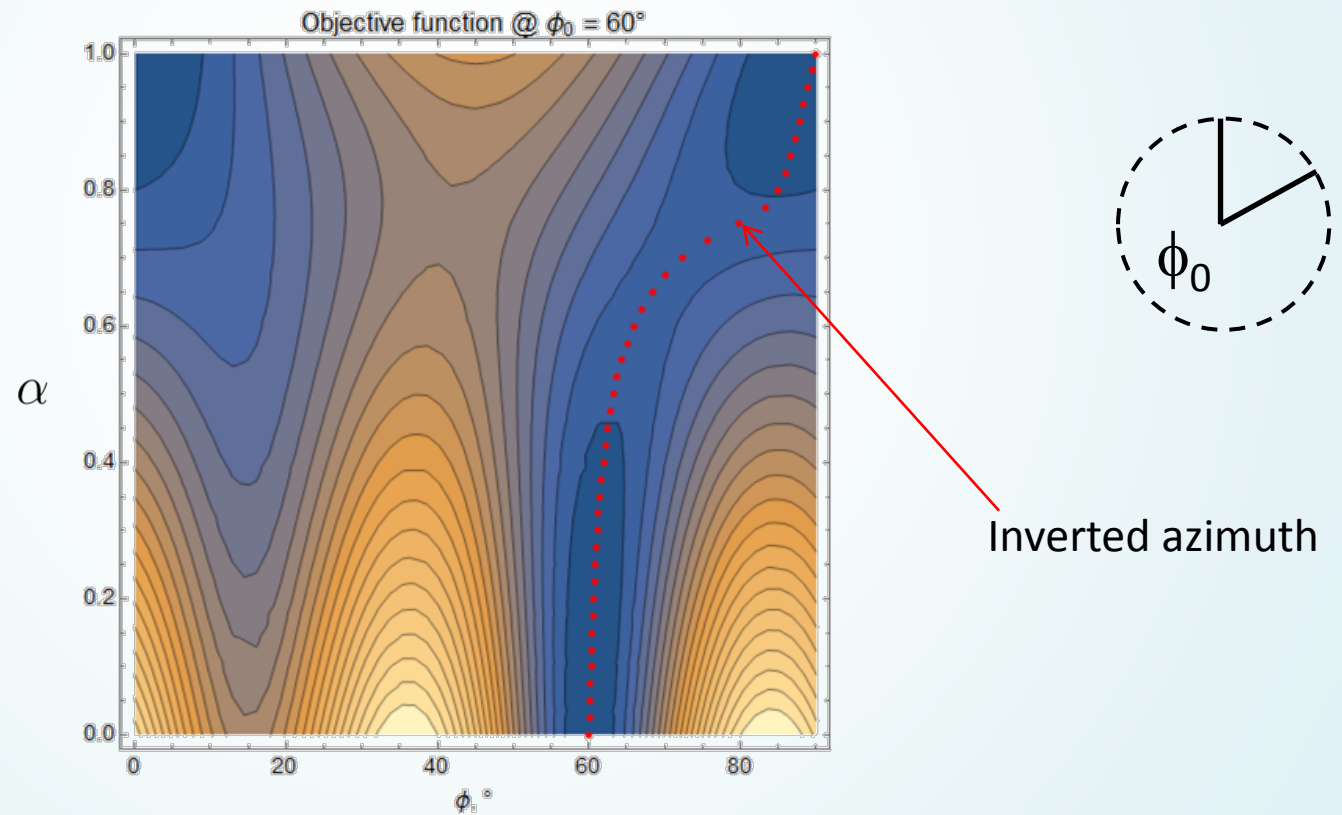
Effective anisotropic media: ORT-az + ORT

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Effective anisotropic media: ORT-az + ORT

Layer	V_{P0}	V_{S0}	ρ	$\epsilon^{(1)}$	$\delta^{(1)}$	$\gamma^{(1)}$	$\epsilon^{(2)}$	$\delta^{(2)}$	$\gamma^{(2)}$	$\delta^{(3)}$	$\eta^{(1)}$	$\eta^{(2)}$	$\eta^{(3)}$
I	3.5	1.6	1.0	0.25	0.15	0.1	0.15	0.1	0.05	0.05	0.08	0.04	0.02
II	4.0	2.0	1.0	0.15	-0.1	0.05	0.2	-0.15	0.1	-0.2	0.31	0.5	0.27



Effective anisotropic media: ORT-az + ORT

Layer	V_{P0}	V_{S0}	ρ	$\epsilon^{(1)}$	$\delta^{(1)}$	$\gamma^{(1)}$	$\epsilon^{(2)}$	$\delta^{(2)}$	$\gamma^{(2)}$	$\delta^{(3)}$	$\eta^{(1)}$	$\eta^{(2)}$	$\eta^{(3)}$
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$$\mathbf{M}^{-1} \tilde{\mathbf{C}}^{\text{AV}} \mathbf{M}^{-\text{T}} = \tilde{\mathbf{C}}^{\text{ORT}}$$

$$\phi_0 = 60^\circ, \alpha = 0.6$$

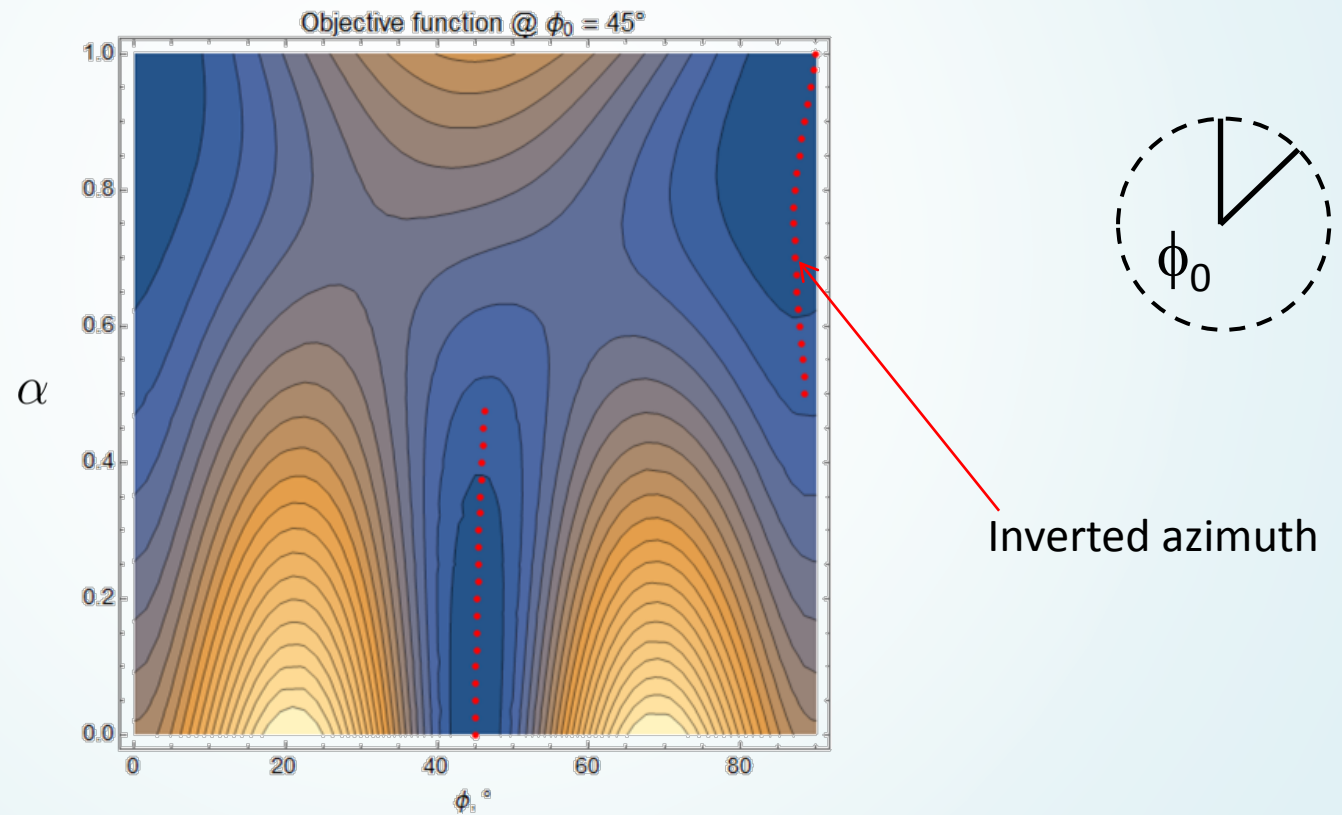
$$\phi^* = 65.88^\circ$$

ORT??

$$\begin{pmatrix} 17.32 & 10.26 & 7.69 & 0 & 0 & -0.27 \\ 10.26 & 19.04 & 7.46 & 0 & 0 & 0.49 \\ 7.69 & 7.46 & 13.52 & 0 & 0 & -0.24 \\ 0 & 0 & 0 & 3.15 & 0.04 & 0 \\ 0 & 0 & 0 & 0.04 & 2.93 & 0 \\ -0.27 & 0.49 & -0.24 & 0 & 0 & 4.26 \end{pmatrix} \rightarrow \begin{pmatrix} 17.44 & 10.11 & 7.74 & 0 & 0 & -0.38 \\ 10.11 & 19.22 & 7.42 & 0 & 0 & 0.44 \\ 7.74 & 7.42 & 13.52 & 0 & 0 & -0.21 \\ 0 & 0 & 0 & 3.16 & 0.01 & 0 \\ 0 & 0 & 0 & 0.01 & 2.93 & 0 \\ -0.38 & 0.44 & -0.21 & 0 & 0 & 4.11 \end{pmatrix}$$

Effective anisotropic media: ORT-az + ORT

Layer	V_{P0}	V_{S0}	ρ	$\epsilon^{(1)}$	$\delta^{(1)}$	$\gamma^{(1)}$	$\epsilon^{(2)}$	$\delta^{(2)}$	$\gamma^{(2)}$	$\delta^{(3)}$	$\eta^{(1)}$	$\eta^{(2)}$	$\eta^{(3)}$
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II	4.0	2.0	1.0	0.15	-0.1	0.05	0.2	-0.15	0.1	-0.2	0.31	0.5	0.27



Effective anisotropic media: ORT-az + ORT

Approach II

Cowin S.C., Mehrabadi M.M., 1987:

“On the identification of material symmetry for anisotropic elastic materials”

$$\underline{\underline{c}} = \begin{bmatrix} c_{11} & c_{12} & c_{13} & c_{14} & c_{15} & c_{16} \\ c_{12} & c_{22} & c_{23} & c_{24} & c_{25} & c_{26} \\ c_{13} & c_{23} & c_{33} & c_{34} & c_{35} & c_{36} \\ c_{14} & c_{24} & c_{34} & c_{44} & c_{45} & c_{46} \\ c_{15} & c_{25} & c_{35} & c_{45} & c_{55} & c_{56} \\ c_{16} & c_{26} & c_{36} & c_{46} & c_{56} & c_{66} \end{bmatrix}$$

Effective anisotropic media: ORT-az + ORT

Dilatational stiffness tensor:

$$C_{ijkk} = \begin{bmatrix} c_{11} + c_{12} + c_{13} & c_{16} + c_{26} + c_{36} & c_{15} + c_{25} + c_{35} \\ c_{16} + c_{26} + c_{36} & c_{12} + c_{22} + c_{23} & c_{14} + c_{24} + c_{34} \\ c_{15} + c_{25} + c_{35} & c_{14} + c_{24} + c_{34} & c_{13} + c_{23} + c_{33} \end{bmatrix}$$

Voigt stiffness tensor:

$$C_{ikkj} = \begin{bmatrix} c_{11} + c_{55} + c_{66} & c_{16} + c_{26} + c_{45} & c_{15} + c_{46} + c_{35} \\ c_{16} + c_{26} + c_{45} & c_{22} + c_{44} + c_{66} & c_{24} + c_{34} + c_{56} \\ c_{15} + c_{46} + c_{35} & c_{24} + c_{34} + c_{56} & c_{33} + c_{44} + c_{55} \end{bmatrix}$$

Effective anisotropic media: ORT-az + ORT

$$\mathbf{C}_{ikkj} = \mathbf{Q}_1 \mathbf{\Lambda}_1 \mathbf{Q}_1^{-1}$$

Voight stiffness tensor

$$\mathbf{C}_{ijkk} = \mathbf{Q}_2 \mathbf{\Lambda}_2 \mathbf{Q}_2^{-1}$$

Dilatational stiffness tensor



Number of distinct eigenvalues can be used to deduce number of symmetry planes



$\mathbf{Q} = \{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$ - transformation matrix

$$C_{ijkl} = Q_{ii'} Q_{jj'} Q_{kk'} Q_{ll'} C_{i'j'k'l'}$$

$\mathbf{Q}_1 = \mathbf{Q}_2$ (pure orthorhombic)

$\mathbf{Q}_1 \neq \mathbf{Q}_2$ (monoclinic)

Effective anisotropic media: ORT-az + ORT

$$\mathbf{C}_{ikkj} = \mathbf{Q}_1 \mathbf{\Lambda}_1 \mathbf{Q}_1^{-1}$$

Voight stiffness tensor

$$\mathbf{C}_{ijkk} = \mathbf{Q}_2 \mathbf{\Lambda}_2 \mathbf{Q}_2^{-1}$$

Dilatational stiffness tensor

$$\mathbf{Q}_1 \neq \mathbf{Q}_2 \quad (\text{monoclinic})$$



$$\mathbf{Q}_1 = \begin{pmatrix} \cos \phi_1^* & -\sin \phi_1^* & 0 \\ \sin \phi_1^* & \cos \phi_1^* & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{Q}_2 = \begin{pmatrix} \cos \phi_2^* & -\sin \phi_2^* & 0 \\ \sin \phi_2^* & \cos \phi_2^* & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

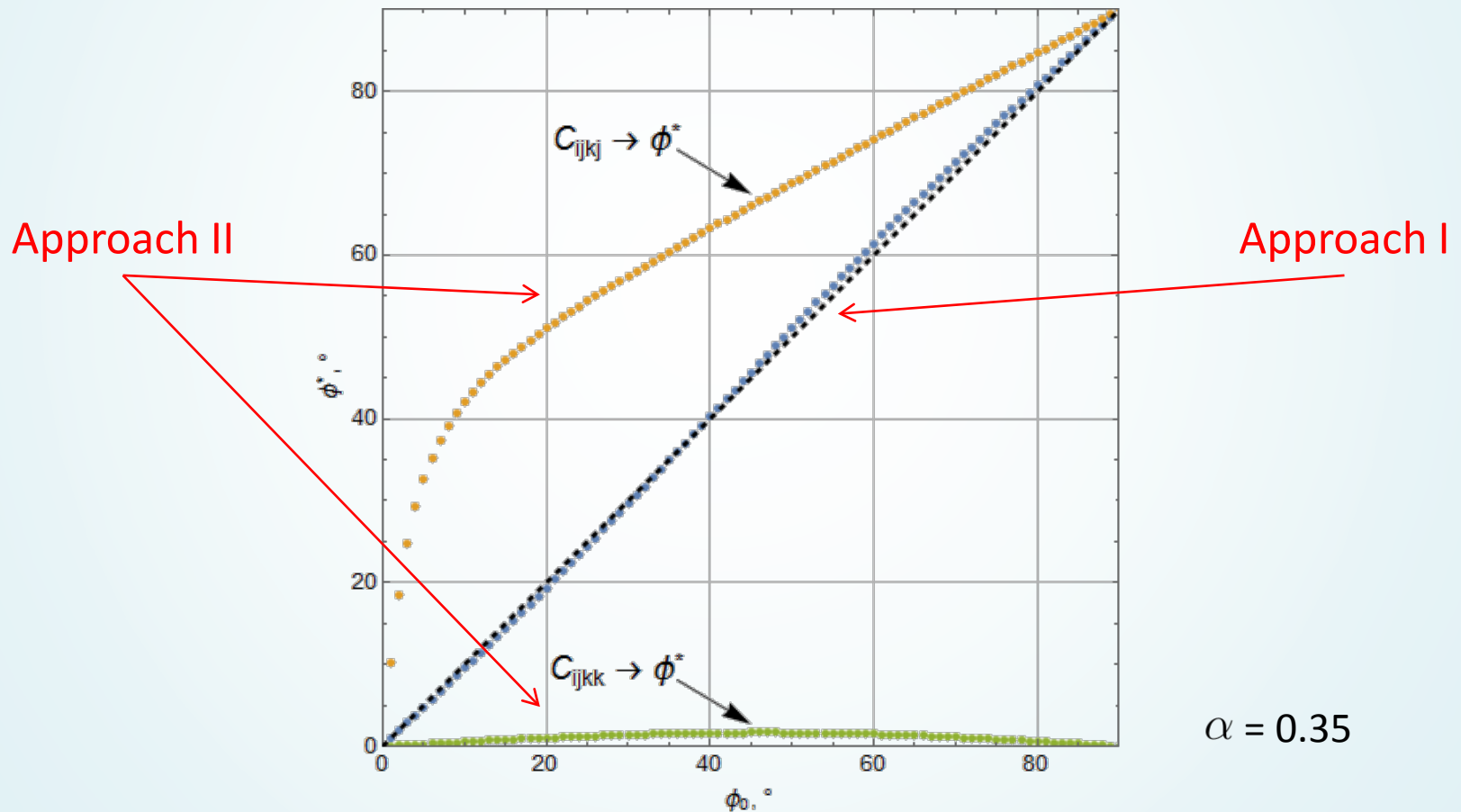


$\bar{\phi}^*$

Browayes et al., 2004

Effective anisotropic media: ORT-az + ORT

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I	3.5	1.6	1.0	0.25	0.15	0.1	0.15	0.1	0.05	0.05	0.08	0.04	0.02
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Effective anisotropic media: ORT-az + ORT

Intermediate conclusions:

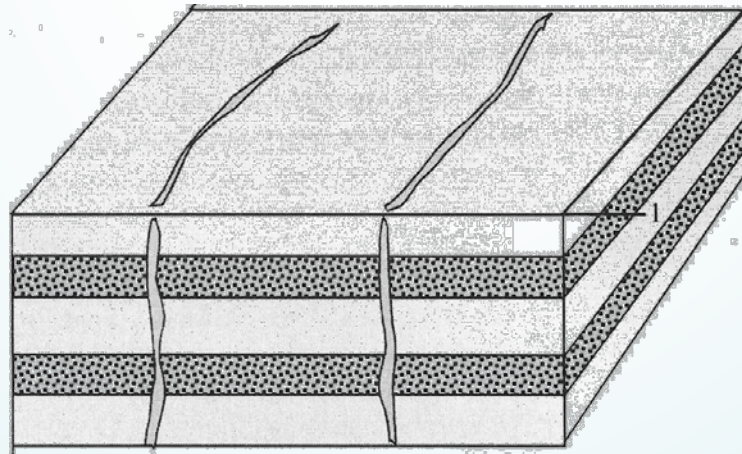
- The problem of nearest medium approximation is of interest in many geophysical applications, where assuming a particular type of symmetry is often necessary to sufficiently reduce computational complexity to allow practical solutions (den Boer, 2014; Bachrach et al., 2015).
- Two approaches are proposed to address this problem in upscaling context, however, they produce different output.

Effective anisotropic media: VTI + ORT

$$\tilde{\mathbf{C}}^{\text{ORT}*} = \begin{pmatrix} C_{11b}(1 - \delta_N) & C_{12b}(1 - \delta_N) & C_{13b}(1 - \delta_N) & 0 & 0 & 0 \\ C_{12b}(1 - \delta_N) & C_{11b} - \delta_N \frac{C_{12b}^2}{C_{11b}} & C_{13b} \left(1 - \delta_N \frac{C_{12b}}{C_{11b}}\right) & 0 & 0 & 0 \\ C_{13b}(1 - \delta_N) & C_{13b} \left(1 - \delta_N \frac{C_{12b}}{C_{11b}}\right) & C_{33b} - \delta_N \frac{C_{13b}^2}{C_{11b}} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44b} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44b}(1 - \delta_V) & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66b}(1 - \delta_H) \end{pmatrix}$$

C_{ijb} - background VTI medium parameters

$\delta_N, \delta_V, \delta_H$ - fracture weaknesses (*Schoenberg and Helbig, 1997*)

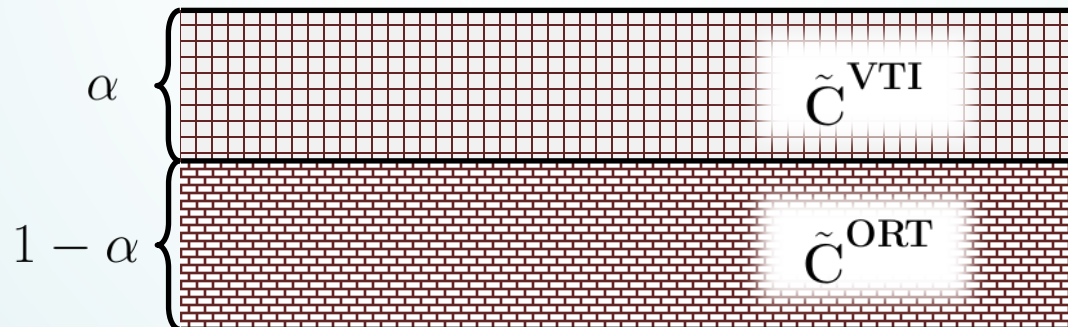


Effective anisotropic media: VTI + ORT

$$\tilde{\mathbf{C}}^{\text{ORT}*} = \begin{pmatrix} C_{11b}(1 - \delta_N) & C_{12b}(1 - \delta_N) & C_{13b}(1 - \delta_N) & 0 & 0 & 0 \\ C_{12b}(1 - \delta_N) & C_{11b} - \delta_N \frac{C_{12b}^2}{C_{11b}} & C_{13b} \left(1 - \delta_N \frac{C_{12b}}{C_{11b}}\right) & 0 & 0 & 0 \\ C_{13b}(1 - \delta_N) & C_{13b} \left(1 - \delta_N \frac{C_{12b}}{C_{11b}}\right) & C_{33b} - \delta_N \frac{C_{13b}^2}{C_{11b}} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44b} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44b}(1 - \delta_V) & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66b}(1 - \delta_H) \end{pmatrix}$$

C_{ijb} - background VTI medium parameters

$\delta_N, \delta_V, \delta_H$ - fracture weaknesses (*Schoenberg and Helbig, 1997*)



Effective anisotropic media: VTI + ORT

Following Bakulin et al. (2000):

$$\epsilon_b, \delta_b, \gamma_b, \delta_N, \delta_V, \delta_H \ll 1$$

$$\bar{V}_{P0} = V_{P0_b} - \frac{1}{2} V_{P0_b} \underline{(1 - \alpha) \delta_N} (1 - 2g)^2,$$

$$\bar{V}_{\text{nmo}}^{(1)} = V_{\text{nmo}_b} - \frac{1}{2} V_{P0_b} (1 - \alpha) \delta_N (1 - 2g)^2,$$

$$\bar{V}_{\text{nmo}}^{(2)} = V_{\text{nmo}_b} - \frac{1}{2} V_{P0_b} (1 - \alpha) (4g \delta_V + (1 - 4g^2) \delta_N),$$

$$\bar{\eta}^{(1)} = \epsilon_b - \delta_b,$$

$$\bar{\eta}^{(2)} = \epsilon_b - \delta_b + 2g(1 - \alpha)(\delta_V - g\delta_N),$$

$$\bar{\eta}^{(3)} = 2g(1 - \alpha)(\delta_H - g\delta_N),$$

$$g = \frac{V_{S0}^2}{V_{P0}^2}$$

Effective anisotropic media: VTI + ORT

Following the approach of Bakulin et al. (2000), fracture parameters can be estimated (not resolvable if ORT/VTI ratio in the composite is unknown):

$$(1 - \alpha)\delta_N = -\frac{\delta^{(2)} - \delta^{(1)} + \eta^{(2)} - \eta^{(1)}}{2g(1 - g)}$$

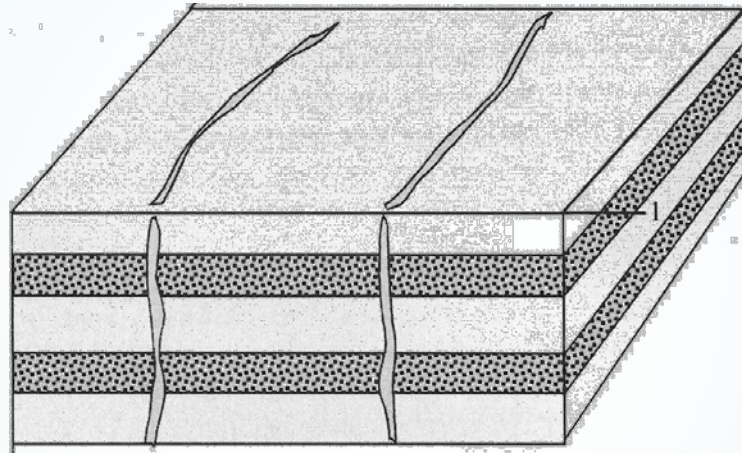
$$(1 - \alpha)\delta_V = \frac{1}{2(1 - g)} \left[\frac{1 - 2g}{g} (\eta^{(2)} - \eta^{(1)}) - (\delta^{(2)} - \delta^{(1)}) \right] \quad \Rightarrow \quad \frac{\delta_N}{\delta_V}$$

$$(1 - \alpha)\delta_H = \frac{\eta^{(3)}}{2g} + g(1 - \alpha)\delta_N$$

$$g = \frac{V_{S0}^2}{V_{P0}^2}$$

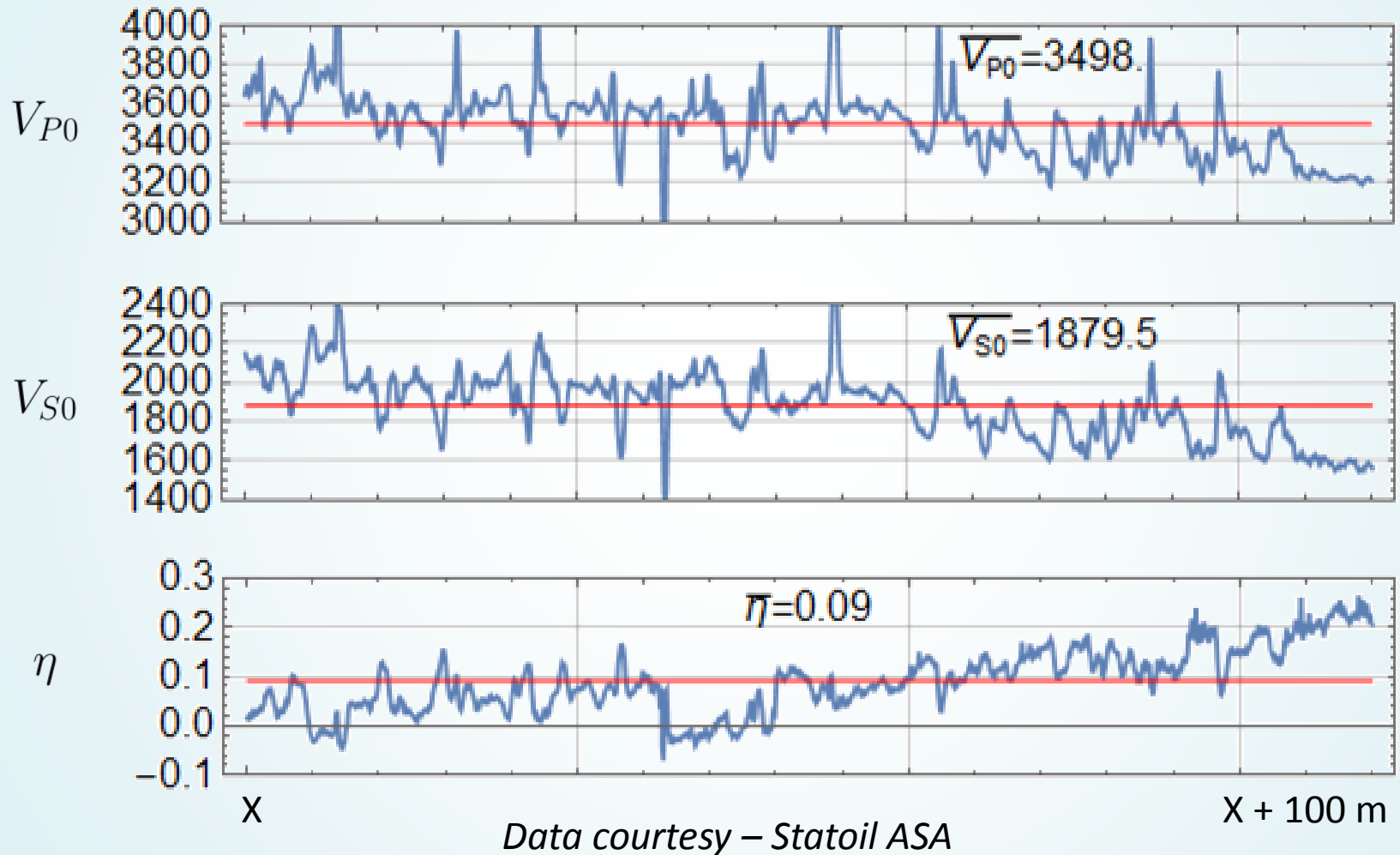
Effective anisotropic media: VTI + ORT

What if the logged interval is known to have fractures?



$$(V_{P0}, V_{S0}, \epsilon, \delta, \gamma) + (\delta_N, \delta_H, \delta_V) = (V_{P0}^*, V_{S0}^*, \epsilon^{(1)}, \epsilon^{(2)}, \delta^{(1)}, \delta^{(2)}, \delta^{(3)}, \gamma^{(1)}, \gamma^{(2)})$$

Effective anisotropic media: VTI + ORT



Effective anisotropic media: VTI + ORT

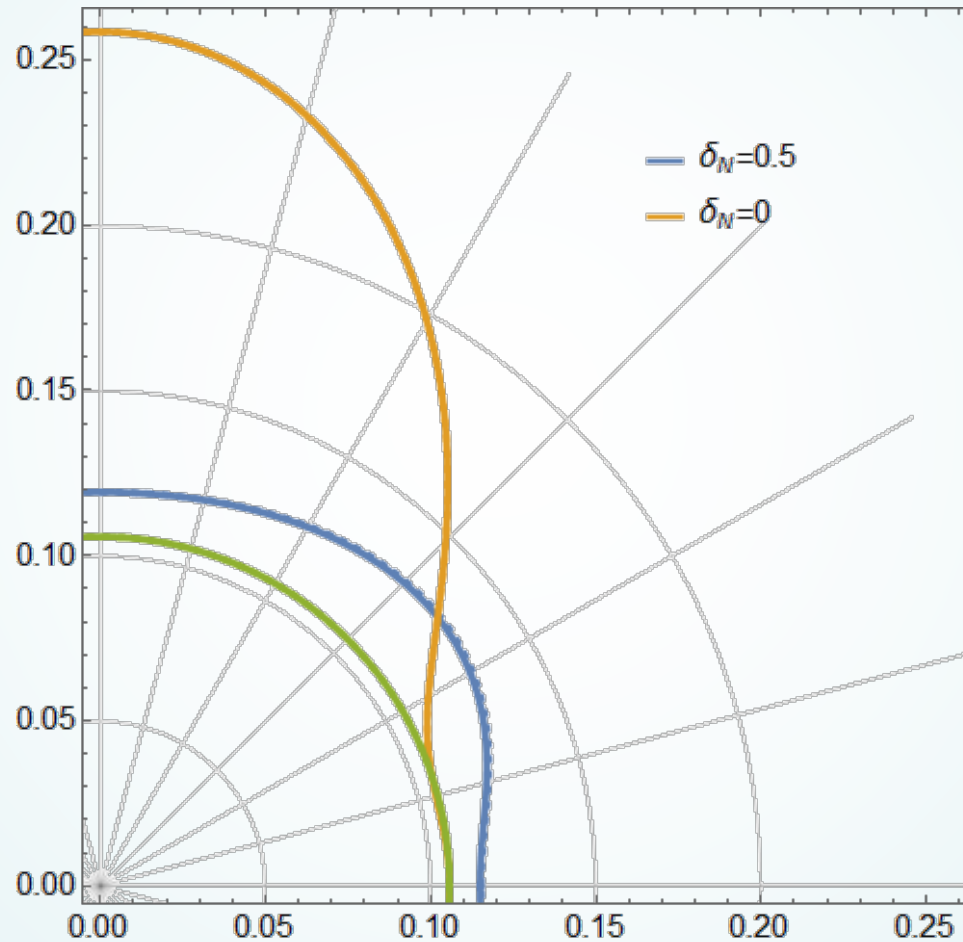
$$\eta(\phi)$$

Following Bakulin
et al. (2000):

$$\delta_H = \delta_V = 0.2$$

$$\delta_N = 0 \quad \text{- wet}$$

$$\delta_N = 0.5 \quad \text{- dry}$$



Conclusions

- Effective media behavior is studied for different types of constituent media:
 - ORT-az + ORT
 - VTI + ORT (vertically fractured VTI)
- Two approaches towards approximation of ORT-az + ORT composite with an effective ORT-az medium are shown
- Linearized expressions for anisotropy parameters for VTI + ORT composite are derived
- Demonstrated how fractures can be included in well-logging data